MINUTES

of the

APRIL 2018

ROTARY FOUNDATION

BOARD OF TRUSTEES MEETING
<table>
<thead>
<tr>
<th>CHAIRMAN</th>
<th>VICE CHAIRMAN</th>
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| Paul A. Netzel  
Los Angeles, CA, USA  
(Rotary Club of Los Angeles) | Kenneth M. Schuppert Jr.  
Decatur, AL, USA (Rotary Club of Decatur) |
| **CHAIRMAN-ELECT** | **GENERAL SECRETARY** |
| Ron D. Burton  
Norman, OK, USA (Rotary Club of Norman) | John Hewko  
Evanston, IL, USA (Rotary Club of Kyiv, Ukraine) |
| Örșelik Balkan  
Istanbul, Turkey  
(Rotary Club of Istanbul-Karaköy) | William B. Boyd  
Auckland, New Zealand  
(Rotary Club of Pakuranga) |
| Mário César de Camargo  
Santo André, Brazil (Rotary Club of Santo André) | Brenda M. Cressey  
Templeton, CA, USA  
(Rotary Club of Paso Robles) |
| Mary Beth Growney Selene  
Madison, WI, USA  
(Rotary Club of Madison West Town–Middleton) | Sushil Gupta  
New Delhi, India (Rotary Club of Delhi Midwest) |
| Gary C. K. Huang  
Taipei, Taiwan (Rotary Club of Taipei) | Seiji Kita  
Saitama, Japan (Rotary Club of Urawa East) |
| Julia D. Phelps  
Greenland, NH, USA (Rotary Club of Amesbury) | K.R. Ravindran  
Colombo, Sri Lanka (Rotary Club of Colombo) |
| Michael F. Webb  
Somerset, England (Rotary Club of Mendip) | Young Suk Yoon  
Seoul, Korea (Rotary Club of Seoul Hoehyon) |
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MINUTES
of the
APRIL 2018 MEETING
Rotary Foundation Board of Trustees

The 2017–18 Rotary Foundation Trustees held their fourth meeting of the year on 9–13 April 2018 in Evanston, Illinois, USA

Present were Chairman Paul A. Netzel, Vice-Chairman Kenneth M. Schuppert, Jr., Chairman-elect Ron D. Burton, other Trustees William B. Boyd, Brenda Cressey, Mário C. de Camargo, Mary Beth Growney Selene, Sushil Gupta, Gary C.K. Huang, Seiji Kita, Julia D. Phelps, K.R. Ravindran, Michael F. Webb, Young Suk Yoon, and General Secretary John Hewko. Gregory F. Yank attended as director liaison. Trustee Örsçelik Balkan could not attend this meeting.

At the invitation of the chairman, incoming Trustees John F. Germ, Per Høyen, Gulam Vahanvaty, and Sangkook Yun also attended.

Andrew McDonald served as secretary of the meeting with assistance from Matt Hohmann. Other staff present were David Alexander, Jim Barnes, Victor Barnes, Michele Berg, Meredith Burlew, Lori Carlson, Richard Kick, Bernadette Knight, Abby McNear, Carol Pandak, Steven Routburg, Eric Schmelling, Dave Stumpf, Thomas Thorfinnson, and Nora Zei. Hyung Jung Lee, Misa Mais, and Eiko Terao served as staff interpreters.

The general secretary prepared a memorandum of items for consideration at this meeting. Items from that memorandum, as well as other items considered during the meeting that were acted upon, constituted the meeting's agenda as follows:

* * * * * *

90. Approval of the January 2018 Meeting Minutes

Statement: The general secretary distributed the January 2018 Trustees meeting minutes to each trustee.

DECISION: The Trustees approve the minutes of their January 2018 meeting and request the chairman to sign the official copy of those minutes.

91. Ratification of Decisions Taken on Behalf of the Trustees

Statement: Rotary Foundation Bylaws section 5.10 states that any officer acting on behalf of the Trustees shall report such action to the Trustees at their next scheduled meeting.

DECISION: The Trustees ratify the decisions as shown in Appendix A—the complete copy of which is filed only with the official copy of these minutes—taken on their behalf between 25 November 2017 and 22 February 2018 by those authorized to do so.

92. General Secretary's Report

Statement: The general secretary presented an update on the work at the Secretariat since the January 2018 Trustees meeting.

DECISION: The Trustees receive the general secretary’s report on the activities at the Secretariat since the January 2018 Trustees meeting.

93. Tentative Future Agenda

Statement: At each meeting, the Trustees review a tentative agenda for their next meeting.

DECISION: The Trustees receive the tentative agenda for their June 2018 meeting.

94. Communications from the Board

Statement: At its January 2018 meeting, the Board took several decisions of interest to the Trustees. The Trustees also considered Board requests to create, through its Programs Committee, an improved way to measure Rotarian Action Groups’ support of Foundation global grant projects, a request for improved cooperation between Rotary clubs and Rotaract clubs when conducting global grants, and a request to revise the Rotary Alumni Global Service Award and the Alumni Associate
of the Year Award to align with policy changes that the Board had made.

**DECISION:** The Trustees

1. note the following decisions from the January 2018 RI Board meeting:

   71. Communications from the Trustees
   74. 2018–19 RI Committee Appointments
   76. India Investigations Report
   77. Strategic Planning Committee Report
   91. 2017 Council on Resolutions Requests: Resolution 17R–17 from the Rotary Club of Bourg-en-Bresse-Revermont, Ain, France
   93. 2017 Council on Resolutions Requests: Resolution 17R–23 from the Rotary Club of Creston, BC, Canada
   101. Young Past Governors Task Force Report
   102. Global Networking Groups Committee Report
   103. Rotaract and Interact Committee Report
   104. Joint Young Leaders and Alumni Engagement Committee Report
   105. International Assembly Attendance Guidelines
   108. Site Selection for the 2021–25 International Assemblies
   109. Service Above Self Award
   112. Update on Clubs Formerly in District 3100 (India)
   115. Global Membership Test Project Update
   118. Regional Leader Policy Revisions
   122. General Data Protection Regulation Funding

2. requests its Programs Committee to create a mechanism to better engage and measure Rotarian Action Groups’ support of Foundation global grant projects, for report to the Global Networking Groups Committee;

3. encourage Rotary clubs to cooperate with Rotaract clubs as partner organizations when conducting Global Grants;

4. revise the Rotary Alumni Global Service Award and the Alumni Association of the Year Award nomination and selection process as shown in Appendix B.

**95. Updates to The Rotary Foundation Code of Policies**

**Statement:** Rotary Foundation Code of Policies section 2.010.6. requests the general secretary, at the conclusion of each Trustee meeting, to review the decisions taken by the Trustees at that meeting and prepare a report listing the decisions that might be added to The Rotary Foundation Code of Policies. The general secretary also recommends amendments to the Code to reflect current practices, language, or other factors.

**DECISION:** The Trustees amend the Rotary Foundation Code of Policies as shown in Appendix C, filed only with the official copy of these minutes.

**96. Review of Delegation of Authority**

**Statement:** Rotary Foundation Code of Policies section 2.020. provides a comprehensive delegation of authority for certain program and administrative matters. The Trustees annually review the delegation of authority at their April meeting.

**DECISION:** The Trustees approve the delegation of authority as shown in Appendix D, filed only with the official copy of these minutes.

**97. Selection of Lead Facilitator for the Regional Leaders Training Institute**

**Statement:** The Regional Leaders Training Institute (RLTI) is led by a planning team comprising a joint moderator and two lead facilitators, with one lead facilitator selected by the RI Board and the other by the Trustees. 2019–20 Chairman Gary C.K. Huang proposed one of the 2019 RLTI lead facilitators for the Trustees’ consideration.

**DECISION:** The Trustees agree to the selection of Past Director Steven A. Snyder to serve as one of two lead facilitators on the 2019 Regional Leaders Training Institute planning team with the moderator, Past Director Ann-Britt Åsebol, and another lead facilitator appointed by the RI Board.

**98. Annual Update on Associate Foundations**

**Statement:** Rotary Foundation Code of Policies section 3.010.2.1. requires the general secretary to provide an annual update on the activities and financial status of each associate foundation at the April Trustees meeting. The update included a review of contributions handled by each associate foundation, management structure, tax benefits, preferred arrangements, and Foundation programs supported, among other issues.

**DECISION:** The Trustees receive the annual update on associate foundations.
99. Appointments to the Associate Foundations

Statement: The associate foundations have differing requirements for their trustee appointments. The Trustees considered appointments in accordance with each associate foundation’s governing documents.

DECISION: The Trustees

1. thank Trustee Sushil Gupta for his dedicated service as Trustee liaison to Rotary Foundation India’s (RFI) Executive Committee and Rotary Foundation Australia Limited Board of Directors;

2. thank Past RI President John Kenny for his service as a member of the Advisory Council of Rotary Deutschland Gemeindienst and Deutsche Rotarische Stiftung for the term ending 30 June 2018;

3. thank regional Rotary Foundation coordinator John Dunkley for his dedicated service as a director of Rotary Foundation United Kingdom’s Committee of Management;

4. appoint incoming Trustees Gulam Vahanvaty and Sangkoo Yun and Trustee Chairman-elect Ron Burton as trustee members of Rotary Foundation India’s General Body to serve for the term commencing on 1 July 2018 through 30 June 2019 and request the RFI secretary to enter the names in the appropriate records as provided in RFI’s Rules and Regulations;

5. appoint incoming Trustee Gulam Vahanvaty to serve as Proxy for The Rotary Foundation at the annual general meeting of the Rotary Foundation Australia Limited Board for fiscal year 2018–19;

6. appoint Trustee Örşçelik Balkan to serve as a member of the Advisory Council of Rotary Deutschland Gemeindienst and Deutsche Rotarische Stiftung for the term commencing on 1 July 2018 through 30 June 2019;

7. appoint Past RI Director Holger Knaack to serve as a member of the Advisory Council of Deutsche Rotarische Stiftung for the term commencing on 1 January 2019 through 31 December 2021;

8. appoint RI Director Peter Iblher to serve as a member of the Advisory Council of Deutsche Rotarische Stiftung for the term commencing on 1 January 2019 through 31 December 2020;

9. appoint incoming regional Rotary Foundation coordinator John Greening as a director of Rotary Foundation United Kingdom’s Committee of Management to serve a three-year term commencing on 1 July 2018 through 30 June 2021;

10. appoint Rotarian John Barnes as a director of Rotary Foundation Australia Limited with a term ending 30 June 2021;

11. agree to be members of the Rotary Foundation of the United Kingdom (RFUK) and request the RFUK secretary to enter their names in the appropriate records as provided in RFUK’s Articles of Association;

12. agree to be members of the Rotary Foundation (Canada) (TRFC) and request TRFC to enter their names in the appropriate records.

100. Philanthropic Support Model

Statement: In decision 64, January 2018, the Trustees received a report on the preliminary market analysis of current philanthropic models, approved the review of the selected countries for possible expansion of these models, and requested the general secretary to report on the countries prioritized for further review. The general secretary reported on the six countries chosen for review, and identified several challenges to establishing a legal entity in those countries, including the lack of tax benefits when donating to The Rotary Foundation, the inability of local charities to support Foundation programs, restrictions on contributions to in-country projects only, and spending requirements that do not align with the three-year SHARE cycle.

DECISION: The Trustees

1. thank the general secretary for his report on philanthropic support models;

2. approve the additional review of the countries selected for possible expansion of philanthropic support models, commencing with Taiwan and Philippines and, upon completion, followed by Italy and/or France;

3. request the general secretary to report on the countries prioritized for further review at the June 2018 Trustees meeting.
101. Fund Development Committee Report

Statement: The Fund Development Committee met on 5–6 April 2018 in Evanston, Illinois, USA, and discussed philanthropy support models, the Endowment Working Group, the Recognition Review Working Group, the Term Gifts Working Group, the Major Gifts Initiative Working Group, an update from the Rotary Peace Centers, corporate social responsibility in India, an update on Major Donor and Arch Klumph Society status in District 3190, a gift from the Estate of James Goodman, the Generational Philanthropy Work Group, the Benchmarking Work Group, international convention donor events, Endowment/Major Gifts Adviser leadership team, updates on online giving and strategic planning, and the End Polio Now: Countdown to History campaign update, among other topics.

**DECISION:** The Trustees

1. thank the Fund Development Committee for its report;

2. amend the Rotary Foundation Code of Policies section 21.020, regarding major gifts recognition, as shown in Appendix E, filed only with the official copy of these minutes;

3. request the general secretary to further develop the proposed estate commitment stewardship concept and for report at the October 2018 Trustees meeting.

102. Update on Major Donor and Arch Klumph Society Status in District 3190 (India)

Statement: In decision 66, January 2018, the Trustees looked with disappointment at the manner in which some donors in District 3190 had used a district match to incorrectly attain major donor recognition or Arch Klumph Society recognition and requested the general secretary to rescind the major donor and Arch Klumph Society recognition for those in violation of Foundation donor recognition policies. The general secretary reported on the status of donor recognition in District 3190 since this decision was made, and the steps taken to increase awareness of donor recognition policies within the district.

**DECISION:** The Trustees

1. receive the general secretary’s status report on Major Donor and Arch Klumph Society recognition in District 3190;

2. reaffirm that the general secretary is to continue rescinding major donor and Arch Klumph Society recognition for those in violation of Foundation donor recognition policies.

103. Areas of Focus Major Gifts Initiative Updates

Statement: Major gifts initiatives seek major and planned gifts in the areas of focus, including water and sanitation, basic education and literacy, and a combined maternal and child health/disease prevention and treatment, with each initiative having a specific fundraising goal. In decision 110, April 2016, the Trustees requested the general secretary to provide updates on the progress of these major gifts initiatives. The general secretary presented an update on each initiative’s launch date and fundraising status, proposed initiative naming opportunities, development of a new economics and community development major gifts initiative, and a proposal for a combined training meeting of major gift initiative committees.

**DECISION:** The Trustees

1. reaffirm the launch of a combined Major Gifts Initiative for the maternal and child health and disease prevention and treatment areas of focus on 1 July 2018, with a goal of US$50 million and agree to call this initiative the Health Major Gifts Initiative;

2. agree to launch the Economic and Community Development Major Gifts Initiative on 1 July 2019, with a goal of $25 million;

3. agree to launch a revised comprehensive areas of focus major gifts initiative committee structure on 1 July 2018, as shown in Appendix F;

4. approve a combined training meeting in 2018–19 for the Basic Education Major Gifts Initiative, Water and Sanitation Major Gifts Initiative, and Health Major Gifts Initiative Committees at One Rotary Center, and requests the general secretary to budget accordingly;

5. approve a separate training meeting in 2018–19 for the Peace Major Gifts Initiative Committee at One Rotary Center and requests the general secretary to budget accordingly;

6. agree to add Rotary Peace Symposium and Entrepreneurial Funds to Rotary’s available major gift
initiative naming opportunities, as shown in Appendix G;

7. request the general secretary to provide an update at the April 2019 Trustees meeting.

104. James E. Goodman Estate Gift

Statement: Rotary Foundation Code of Policies section 20.050.2. provides that outright gifts of US$10,000 or more that are received without donor instructions will be placed in the Endowment Fund with future annual earnings directed to the World Fund. The general secretary informs the Trustees of all unrestricted gifts of US$250,000 or more. The Rotary Foundation had recently received a gift from the James E. Goodman estate that fell within this reporting requirement.

DECISION: The Trustees

1. receive with appreciation the distribution of US-$500,000 from the James E. Goodwin estate;

2. affirm that this gift shall be placed in the Endowment Fund with future spendable earnings directed to SHARE.

105. Term Gifts Policy Clarification

Statement: In decision 7, June 2017, the Trustees requested Trustees Burton and Schuppert to work with the general secretary to review the name and use of “term gifts.” In decision 22, September 2017, the Trustees agreed to convene a working group of the Fund Development Committee to further evaluate the clarifications sought by this item, including a definition of “term gift” and any other recommended changes to term gifts. The working group made several recommendations regarding “term gifts”, including a name change, operating cost assessments, and general policy changes.

DECISION: The Trustees

1. thank the working group of the Fund Development Committee for their report on Term Gift policy clarification;

2. regarding Term Gifts, agree:

a. to change the name to “Directed Gift”;

b. to assess a five percent deduction from all Directed Gifts for global grants and the Rotary Peace Centers to cover the administrative and program costs associated with these gifts, effective 1 July 2018;

c. to continue waiving the minimum international partner contributions for global grants that include a Directed Gift;

d. that Directed Gifts be spent in minimum increments of $15,000 unless the remainder of the gift is less than $15,000.

106. Corporate Social Responsibility in India Pilot Update

Statement: In decision 111, April 2016, the Trustees agreed to accept corporate social responsibility (CSR) contributions in India beginning in the 2016–17 Rotary year, provided that the necessary processes and procedures are established consistent with Rotary Foundation guidelines as a three-year pilot project through 2018–19. The general secretary reported on the outcomes and challenges of the CSR India pilot project, and recommended several opportunities for growth and adjustment within the project’s coming years.

DECISION: The Trustees

1. thank the general secretary for the update on the corporate social responsibility in India pilot;

2. agree to extend the pilot for a fourth year through 30 June 2020;

3. request the general secretary to study opportunities and issues identified during the current pilot for further review and possible action at the June 2018 Trustees meeting.

107. Level III Grant Requests

Statement: Global Grants requesting over US$100,000 from the World Fund must be reviewed by the Trustees. One such Global Grant application was reviewed and awarded by the Trustees at this meeting.

DECISION: The Trustees agree to award Global Grant 1860276, a Maternal Child and Health grant sponsored by the Rotary Clubs of Mannheim-Kurpfalz, Germany and Gbagada, Lagos State, Nigeria to provide 15 hospitals in the Nigerian federal states of Lagos, Ogun, and
Oyo with the training and equipment necessary to reduce maternal and perinatal mortality and morbidity rates, in the amount of US$200,000 from the World Fund (total project cost of $555,697).

108. Conflict of Interest Policy Revisions

Statement: In decision 33, September 2017, the Trustees requested its Programs Committee to recommend possible changes to the Conflict of Interest policy based on discussions at that meeting. The Programs Committee made several recommendations to clarify the policy language and to more clearly convey its intent.

DECISION: The Trustees amend the Rotary Foundation Code of Policies regarding conflicts of interest as follows:

10.030. Conflict of Interest Policy for Program Participants

All individuals involved in a program grant and/or award shall conduct their activities in a way that avoids any actual or perceived conflict of interest. A conflict of interest is a relationship among individuals through which an individual involved in a program grant or award causes benefit, or could be perceived to cause benefit, for such individual or such individual’s family, personal acquaintances, business colleagues, business interests, or an organization in which such individual is a trustee, director, or officer. This conflict of interest policy shall be applied in the following circumstances as specifically set forth below. The application of this conflict of interest policy shall not be limited to these circumstances.

To assure integrity in The Rotary Foundation grants program it is essential that all individuals involved in a program grant and/or award conduct their activities in a manner that avoids a conflict of interest.

A conflict of interest occurs when an individual is in a position to make or influence a decision about a grant or award that benefits (i) that individual, (ii) an immediate family member, (iii) a business partner, or (iv) an entity in which the individual, an immediate family member, or a business partner has a significant financial interest or in which the individual, an immediate family member, or a business partner is a trustee, director or officer.

Rotarians shall disclose to the general secretary all actual and potential conflicts of interest. If in doubt, any potential conflict should be disclosed. Rotarians shall not serve on the grant committee for any global grants on which they have a conflict of interest. Global grants may not be financed with contributions (Directed Gifts, CSR funds, etc.) where the donor has a conflict of interest in relation to the grant.

The general secretary shall provide advice on how to interpret and implement this conflict of interest policy. The general secretary and/or Trustees will decide if a conflict of interest may exist for a particular case. If, upon review of the situation, the general secretary and/or Trustees concludes that there is or has been a conflict of interest in the execution of a TRF program grant or award, the general secretary shall fashion and recommend to the Trustees an appropriate remedy to protect the integrity of the grant process. Such remedy may include the cancellation of current or suspension of future TRF program grants or awards involving a particular Rotarian, Rotary club, or Rotary district.

[Text omitted]

109. Grant Appeals

Statement: Per Rotary Foundation Code of Policies section 2.020., the general secretary is authorized to approve all grant awards up to US$100,000. Any appeals of the general secretary’s action in this regard will be reviewed and acted upon by the Trustees’ Executive Committee. The general secretary received two appeals to global grant applications that had been previously denied.

DECISION: The Trustees

1. place Global Grants 1642528 and 1531124 on hold until such time as District 3030 (India) has completed all audit requirements and the Trustees have lifted the current suspension;

2. do not waive the prohibition on spending grant funds before grant approval for Global Grant 1641582, and require the Rotary Clubs of Belize, Belize and Ybor City, FL, USA to return $41,850 that they had spent on a portable cardiac echo machine two months prior to grant approval.

110. Grants Status during District Suspension

Statement: Rotary Foundation Code of Policies section 14.030.4.2. states that any individual, club, or district that
has failed to comply with Foundation reporting requirements or stewardship guidelines or has misused or mismanaged Foundation grant funds may be subject to sanctions, including suspension of eligibility to be considered for future grants. The Programs Committee recommended further review of this policy due to the perceived fairness to clubs within a suspended district.

**DECISION:** The Trustees request the Stewardship Committee to review the policy regarding cancelling previously approved grants during district suspensions.

### 111. Update on Global Grants with the German Federal Ministry for Economic Cooperation and Development (BMZ)

**Statement:** German Rotary clubs often work with or accept funds from the German Federal Ministry for Economic Cooperation and Development, known as BMZ. The general secretary reported on projects of interest that German Rotary clubs are working on in collaboration with BMZ and that some of the projects include construction, which is not allowed under current Foundation policy. The general secretary reported that these grants, which had been issued a waiver to continue, were recently audited with favorable results.

**DECISION:** The Trustees

1. receive the general secretary’s update on global grant activity using funding from the German Federal Ministry for Economic Cooperation and Development (BMZ);

2. note the deviation from standard global grant reporting practices for BMZ grants;

3. agree to support continued global grant activity with BMZ funding.

### 112. Request for Additional World Fund for Global Grants

**Statement:** The general secretary reported the funding for global grants in 2017–18 was in risk of not keeping up with demand due to a sudden, unexpected increase in global grant requests. Noting that this is a strong affirmation of the global grants model and that it is in Rotary’s best interest to keep pace with global grant requests with the appropriate funding, the general secretary suggested that the Board approve use of unrestricted contributions from the World Fund.

**DECISION:** The Trustees agree to provide an additional US$2 million in World Fund to finance global grants in 2017–18.

### 113. Critical Success Factors for Rotary Grants

**Statement:** In decision 118, April 2016, the Trustees requested the general secretary to conduct an evaluation of the grants processing cycle time critical success factors to identify any necessary adjustments to the targets. The general secretary reported on the targeted goals of the critical success factors, focusing on five main areas: Rotarian perspective, area of focus fit and quality, cycle time, operational efficiency, and stewardship.

**DECISION:** The Trustees receive the general secretary’s report on critical success factors for Rotary grants.

### 114. WASH in Schools Target Challenge Update

**Statement:** The WASH in Schools Steering Committee met on 11 January 2018 in San Diego, CA, USA, and selected four competitive grants from the five pilot countries (Belize, Honduras, Guatemala, Kenya, and India) participating in the challenge. The general secretary reported on the current status of the four grants, which constitutes the second phase of the pilot project.

**DECISION:** The Trustees receive the general secretary’s update on the WASH in Schools Target Challenge.

### 115. RI-USAID Partnership Update

**Statement:** The RI-USAID Steering Committee met on 5 March 2018 in Evanston, IL, USA, and reviewed current activity in the focal countries, discussed specific lessons learned in Ghana, and ways that the current partnership model could be improved, among other topics.

**DECISION:** The Trustees receive the general secretary’s update on the RI-USAID Partnership.

### 116. 2017 Council on Resolutions: To Request the Trustees to Consider Adding Sustainable Development and Environmental Protection as an Area of Focus

**Statement:** The 2017 Council on Resolutions referred several resolutions to the Board for consideration, two of which the Board sent to the Trustees for consideration because they were Foundation matters. The Rotary Club of Bourg-en-Bresse-Revermont requested adding sus-
taneous development and environmental protection as an area of focus of The Rotary Foundation.

**DECISION:** The Trustees

1. thank the Rotary Club of Bourg-en-Bresse-Revermont, France for its proposal recommending that Sustainable Development and Environmental Protection be added as an area of focus;

2. noting that the Trustees will be reviewing the six areas of focus in 2018–19 in accordance with Rotary Foundation Code of Policies section 11.070.3., do not agree to amend the areas of focus at this time.

**117. 2017 Council on Resolutions: To Request the Trustees to Consider Including a Water Project Application Template in the Global Grant Application**

*Statement:* The 2017 Council on Resolutions referred several resolutions to the Board for consideration, two of which the Board sent to the Trustees for consideration because they were Foundation matters. The Rotary Club of Creston, B.C., Canada requested adding a link in the global grant application process that directs applicants to an application template specific to water projects.

**DECISION:** The Trustees

1. thanks the Rotary Club of Creston, BC, Canada for its request to consider including a water project application template in the global grant application;

2. noting the significant expenses a new online application template would require to implement, decline the club’s proposal; however, request the general secretary to consider cost-neutral methods for providing greater assistance with water and sanitation applications.

**118. Japan Rotary Peace Centers Endowment Fund**

*Statement:* Trustee Kita informed the Trustees of an opportunity to create an endowment fund through the Japan Rotary Peace Center.

**DECISION:** The Trustees

1. agree to the establishment of the Japan Rotary Peace Centers Endowment Fund to support the Rotary Peace Centers program;

2. encourage the transfer of accumulated, current, and future DDF to the newly formed Japan Rotary Peace Center Endowment Fund.

**119. District Designated Fund Working Group Report**

*Statement:* In decision 70, January 2018, the Trustees requested Chair Netzel to appoint a District Designated Fund (DDF) working group to explore policy refinements within the framework discussed at this meeting to address the issues related to accumulating DDF. The general secretary reported on the working group’s findings, including the possibility that districts would use more of their DDF if it was available for district grants, and if it were easier to find an international partner for their projects. The Programs Committee made several recommendations that could further increase DDF use by districts.

**DECISION:** The Trustees

1. agree to offer districts the one-time opportunity to use up to 20 percent of their uncommitted DDF at the close of fiscal year 2018, which can be spent through district grants before the end of fiscal year 2021, and agree to evaluate the usage of this one-time opportunity on an annual basis to better understand the demand for district grants;

2. request the Stewardship Committee to evaluate the impact of changing the policy to increase the percentage of DDF that can be spent on district grants, and the impact that changed policy would have on audits;

3. requests the International Assembly Committee to consider offering opportunities at the Assembly for districts to make connections with potential project partners;

4. agree to revise the Terms and Conditions for Rotary Foundation District Grants and Global Grants to allow district grants to fund travel to and participation in Rotary Project Fairs, to help districts identify project partners;

5. requests that districts take advantage of alumni, Rotaract, and Interact expertise and networks by working closely with alumni associations, Rotaract clubs, and Interact clubs to identify, develop, and implement impactful projects and to build strong
connections with international partners in implementing those projects;

6. agree to alleviate international partners from global grant reporting responsibilities if they were not the party receiving or distributing grant money;

7. agree to assign the 100 districts with the highest DDF carry forward balances to a trustee or director, and to ask those respective leaders to personally reach out to those districts to offer support and encouragement in reducing DDF balances.

120. Rotary Peace Centers Future Planning Committee

Statement: In decision 74, January 2018, the Trustees deferred several decisions recommended by the Rotary Peace Centers Future Planning Committee to their April meeting. The Rotary Peace Centers Future Planning Committee reported on the results of research conducted by PartnersGlobal from surveys and interviews of Rotary Peace Centers partner constituents. The committee agreed that the Trustees should enhance the master’s degree program, grant alumni access to Rotary Foundation funds, install a new certificate program, and approve a “scoping mission” to proactively determine potential partnership regions.

DECISION: The Trustees

1. agree to enhance the master’s degree program by 2022, to focus more broadly on peace and international development, whereby Peace Centers would offer Rotary peace fellows elective courses, certificates, or tracks for specializations that would take advantage of Rotary’s Areas of Focus;

2. agree to develop a standardized workshop series applicable to all masters’ degree centers to better equip Rotary peace fellows with the practical aspects of a career in peacebuilding and development;

3. to help ensure and enhance long-term relationships with Rotary alumni, agree to investigate the possibility of alumni accessing Foundation funds, through clubs and district partnerships, to help alumni advance Rotary’s reputation as a thought leader in peace and development;

4. recommend that the Middle East/North Africa be selected as the initial pilot region for certificate program expansion based on the research report by PartnersGlobal, as shown in Appendix H, filed with the official copy of these minutes;

5. approve a scoping mission to the selected pilot region of the new certificate program and appoint a Rotary delegation comprising two Rotarians, a staff member, and the consultant, to participate in the mission and develop the criteria that will go into a request for proposal for a new center in the region;

6. request the general secretary to pursue research with the consultant to develop additional options for Asia should Chulalongkorn not submit an acceptable proposal to adopt the new certificate program model within the specified timeframe.

121. Peace Events at the 2019 RI (Hamburg) Convention

Statement: In decision 48, September 2017, the Board approved the concept of a preconvention peace event at the 2019 RI Hamburg Convention, similar to the one held at the 2017 Atlanta Convention. In decision 75, January 2018, the Trustees reinstated the triennial Peace Fellow Symposium beginning at the 2019 RI Convention. The general secretary suggested a single event be held that meets the objectives of both boards to better streamline activities during the convention.

DECISION: The Trustees

1. note that the RI Board (in decision 48, September 2017) and Trustees (in decision 75, January 2018) both expressed interest in creating different peace preconvention events at the 2019 Hamburg convention;

2. rescind their decision 75, January 2018 that was to reinstate the triennial Peace Fellow Symposium beginning at the 2019 RI (Hamburg) Convention;

3. agree to hold the Rotary Peace Symposium on 31 May–1 June 2019 at the Hamburg convention, provided that

a. the Peace Symposium planning committee report to the Hamburg Convention Committee;

b. the event be marketed to all convention attendees;

c. attendance will not exceed 500 participants;
and requests the general secretary to budget accordingly;

4. agree the Peace Symposium will be evaluated for future years in coordination with the Rotary Peace Centers Committee, RI Convention Committee, and the general secretary;

5. request the RI Board to concur with this decision.

122. Joint Committee on Partnerships Report

Statement: The Joint Committee on Partnerships met on 6–7 March 2018 in Evanston, IL, USA, and discussed updates to the RI-USAID H2O partnership, the WASH in Schools Target Challenge, the Rotary-Institute for Economic Progress partnership, the Power of Nutrition partnership, programs of scale research, the Peace Corps partnership and Habitat for Humanity, a CSR India update, corporate partnership cultivation efforts, website and design process updates, guidelines for cause marketing at the club level, possible policy amendments regarding service partnerships, collaborating organizations, and partnership vetting and evaluation procedures, among other topics.

DECISION: The Trustees

1. thank the Joint Committee on Partnerships for its report;

2. amend Rotary Foundation Code of Policies section 4.040. regarding the definition of service partnerships as shown in Appendix I;

3. extend Rotary’s service partnership agreement with Peace Corps for an additional three-year term;

4. extend Rotary’s service partnership agreement with Habitat for Humanity International for an additional three-year term;

5. amend Rotary Foundation Code of Policies Article 4 regarding partnerships by adding a new section as follows:

4.070. Collaborating Organizations

Rotary International and/or The Rotary Foundation recognize collaborating organizations such as nongovernmental organizations, government agencies, corporations, or universities with which Rotarians work to advance their community and international service activities. These collaborating organizations have proven experience with Rotary and Rotarian service activities at the local and international levels.

and requests the general secretary to develop selection criteria for Collaborating Organizations for review at the October 2018 Trustees meeting;

6. amend Rotary Code of Policies Articles 4, to reflect updated vetting and evaluation procedures, as shown in Appendix J.

123. Rotary’s Role in the Polio Post-Certification Era

Statement: The Trustees discussed the role of Rotary in the post-polio certification world as proposed by the Global Polio Eradication Initiative, including Rotary’s legacy in contributing to the eradication of polio, continuing efforts to maintain polio eradication, and lessons learned from the polio eradication campaign. The International PolioPlus Committee recommended the Trustees extend polio advocacy efforts to several key countries post-certification.

DECISION: The Trustees

1. agree that The Rotary Foundation has an interest in protecting Rotarians’ investment in global polio eradication efforts after polio eradication is certified;

2. agree, upon the certification of polio eradication, to advocate in pre-selected countries for implementation of the polio post-certification strategy, as developed by the Global Polio Eradication Initiative;

3. note the estimated annual budget to carry out the polio post-certification strategy;

4. request the RI Board’s concurrence with this decision.

124. Regional Leader Policy Revision

Statement: In decision 42, September 2017, the Trustees approved in concept the regional leader policy revision guiding principles and requested the general secretary to suggest policy revisions for regional leaders. The general secretary suggested a new policy framework regarding the teamwork, training, funding, and evaluation of regional Rotary Foundation coordinators and Endowment/Major Gift Advisers. The policy would further
establish the purpose, qualifications, selection process, responsibilities, primary audience and key network of the two leadership roles. In decision 76, January 2018, The Trustees deferred consideration of a revised policy direction for regional Rotary Foundation coordinators to their April meeting. The Regional Leaders Working Group made several policy recommendations for regional Rotary Foundation coordinators.

**DECISION:** The Trustees

1. approve revised policy direction for the regional Rotary Foundation coordinators as shown in Appendix K;

2. request the general secretary to

   a. continue working with the Regional Leader Working Group to draft similar revisions to the Endowment/Major Gift Advisors policy, for review at the June 2018 Trustees meeting;

   b. draft similar policy suggestions for trustees related to their role and relationship with regional leaders, for review at the June 2018 Trustees meeting.

**125. Amendments to the Citation for Meritorious Service and Distinguished Service Award**

*Statement:* The Foundation Awards Review Committee recommended significant amendments to the Rotary Foundation Code of Policies regarding the Citation for Meritorious Service award and the Distinguished Service award, including accepting and processing CMS nominations in November and December each year, realigning the nomination and processing period for the Distinguished Service award to January to better align with the Citation for Meritorious Service, and changing the Citation for Meritorious Service nominator from the governor to the district Rotary Foundation coordinator with secondary endorsement by the governor.

**DECISION:** The Trustees approve new Rotary Foundation Code of Policies sections 21.050.4 (Citation for Meritorious Service), 21.050.5 (Distinguished Service Award), 21.050.9 (Service to Rotary Award) and 21.050.10 (Replacement), as shown in Appendix L.

**Note:** Trustee Burton requested that his no vote be recorded.

126. **Report on Allegations**

*Statement:* To facilitate the work of the Stewardship Committee, and in accordance with Rotary Foundation Code of Policies section 14.030.4., the Trustees receive semiannual updates on stewardship allegations, investigations, and litigation at their October and April meetings.

**DECISION:** The Trustees

1. request District 2982 (India) to return INR700,000 by 15 June 2018, and authorize the general secretary to suspend the district if funds are not received;

2. request the RI Board to request the Rotary Club of Salem Junction to terminate the membership of Rotarian Balasubramanium and agree that he shall no longer be recognized as a Past District Governor by Rotary International;

3. receive the general secretary’s report on the status of District 3030 (India);

4. thank the special advisers to District 3272 (Pakistan), Past Governors K. M. Abedin and Imran Hassan, and incoming Trustee Gulam Vahanvaty, for their leadership in improving stewardship and grants management in the district and request a further update on District 3272’s status at the April 2019 Trustees meeting;

5. note that District 3830 (Philippines) has a history of improper implementation of District Qualification requirements and look with disappointment at the implementation by Governor Edwin Afzelius, Governor-elect Alfredo Montecillo, immediate past Governor Edna Sutter, and district Rotary Foundation chair Herman Gamboa;

6. suspend District 3830 (Philippines) from participation in District-Sponsored Grants until 30 June 2019, and until the district has submitted all audit requirements, and note that an operational audit will be conducted at a future date.

127. **Annual Stewardship Plan**

*Statement:* Rotary Foundation Code of Policies section 14.010. provides that the general secretary prepare an annual stewardship plan for review at the April Trustees meeting. The general secretary reported on the status of
the stewardship plan’s 2017–18 priorities and proposed priorities for 2018–19.

**DECISION:** The Trustees receive the 2018–19 stewardship plan.

**128. World Reporting Analysis for Rotary Grants**

Statement: Rotary Foundation Code of Policies section 14.040.2. requires Rotary clubs and districts to be 100 percent current and compliant with grant reporting requirements. The general secretary provides a comprehensive report on the status of such grant reporting compliance at the April Trustees meetings.

**DECISION:** The Trustees note that the general secretary will suspend District 3181 (India), effective 1 May 2018, for failure to meet reporting compliance of 70 percent for four quarters and for having more than one grant overdue in each of the analyses.

**129. Stewardship Assessment in Zones 4, 5, 6A**

Statement: In decision 155, June 2017, the Trustees agreed to allow the Rotary Foundation (India) to implement a local oversight grants panel on a one-year pilot basis. In July 2017, an audit was conducted on five percent of grants approved throughout Zones 4, 5, and 6A, focusing on a grant’s overall strengths and weaknesses, financial management, project management, sustainability, and ways to strengthen grant management. The general secretary provided an update on the results of the audit, and made several recommendations to the Trustees.

**DECISION:** The Trustees

1. receive the general secretary’s report on the results of the 2017–18 Stewardship Assessment in Zones 4, 5, and 6A;

2. thank the Cadre auditors for their detailed reports;

3. request the general secretary to implement the communication and training plan to increase Rotarian capacity for project planning, stewardship and grant management.

**130. Oversight Grants Panel for Zones 4, 5, and 6A**

Statement: In decision 127, April 2017, the Trustees looked with favor on the request received by Rotary Foundation (India) to form a panel to review completed grants in Zones 4, 5, and 6A as a one-year pilot project, which the Trustees approved in decision 155, June 2017. Led by Director Chockalingam, the pilot program approved 10 randomly selected grants for review. Rotary Foundation (India) requested the Trustees to consider extending the pilot program for another year.

**DECISION:** The Trustees approve a one-year extension of the Rotary Foundation (India) Oversight Pilot.

**131. Stewardship Mechanisms for PolioPlus Grants**

Statement: In decision 36, September 2017, the Trustees requested its Stewardship Committee to provide guidance to the International PolioPlus Committee on stewardship mechanisms at the country-level for PolioPlus grants. The Stewardship Committee reported on the positive outcome of the financial reviews of PolioPlus grants, and recommended that The Rotary Foundation Cadre of Technical Advisers assign a Cadre auditor to conduct in-country financial reviews to verify local controls, financial documentation, costing, and vendors.

**DECISION:** The Trustees request the general secretary to arrange for a cadre member to conduct an in-country financial review of select PolioPlus grants made to partner agencies, for report to the October 2018 Trustees meeting.

**132. TRF Finance Committee Report**

Statement: The TRF Finance Committee met on 6 April 2018 in Evanston, IL, USA, and discussed the 2019 draft budget, the proposed 2019 contributions budget, net investment returns, the 2018–19 program awards budget, spendable earnings from the Endowment Fund, an updated forecast of the annual fund balances, efficiency ratios, allocated expenses for the Foundation, and an associate foundations update, among other topics.

**DECISION:** The Trustees thank the Rotary Foundation Finance Committee for its report.

**133. Budget for 2018–19 Contributions, Net Investment Return, Grants and Other Income and Program Awards**

Statement: The Trustees annually approve a contributions and program awards budget for the following year. The portion of the budget that relates to expenditure of unrestricted contributions for program awards requires Board approval.
DECISION: The Trustees

1. approve the 2018–19 contributions budget totaling US$314,710,000;

2. approve:
   - the net investment return budget for 2018–19 of $43,269,000;
   - the grants and other income budget for 2018–19 of $1,441,000;

3. approve the total program awards expenditures budget to be made in 2018–19 totaling $274,026,000 from different funding sources:
   a. approve the budget of $113,381,000 for program award expenditures to be made in 2018–19 from the Annual Fund;
   b. approve the budget of $2,794,000 for program awards expenditures to be made in 2018–19 in accordance with temporary restricted contributions received and endowment spendable earnings;
   c. approve the budget of $7,500,000 for program expenditures to be made in 2018–19 from the Donor Advised Funds subject to the approval of the RI Board of directors;
   d. approve the budget of $150,350,000 for program award expenditures to be made in 2018–19 from the PolioPlus Fund;

4. request the RI Board to approve the 2018–19 budget for program award expenditures totaling $105,739,000 from unrestricted contributions in the Annual Fund of $98,239,000 (District Designated Funds: $58,628,000 and World Fund: $39,611,000); and from Donor Advised Funds $7,500,000;

5. reaffirm decision 68, January 2017, to increase the match of DDF contributions to PolioPlus ($0.50 from the World Fund for every $1.00 DDF) to be capped at $5,000,000 annually for the next two fiscal years through 2019–20.

134. 2018–19 Endowment Fund Spending/Distribution Rates and Spendable Earnings

Statement: In accordance with the Endowment Fund’s Investment Policy Statement, the Trustees annually approve spending rates for each market value tier.

DECISION: The Trustees

1. approve the following rates to be applied to endowed funds to calculate spendable earnings and allocations for fiscal year 2018–19:

<table>
<thead>
<tr>
<th>Expense</th>
<th>Tier 1</th>
<th>Tier 2</th>
<th>Tier 3</th>
<th>Tier 4</th>
</tr>
</thead>
<tbody>
<tr>
<td>Program awards</td>
<td>0%</td>
<td>1.8%</td>
<td>3.2%</td>
<td>4.1%</td>
</tr>
<tr>
<td>Program operations</td>
<td>0%</td>
<td>0.2%</td>
<td>0.3%</td>
<td>0.4%</td>
</tr>
<tr>
<td>Fund development</td>
<td>0%</td>
<td>0%</td>
<td>0.3%</td>
<td>0.3%</td>
</tr>
<tr>
<td>General administration</td>
<td>0%</td>
<td>0%</td>
<td>0.2%</td>
<td>0.2%</td>
</tr>
<tr>
<td>Total spending rate</td>
<td>0%</td>
<td>2.0%</td>
<td>4.0%</td>
<td>5.0%</td>
</tr>
</tbody>
</table>

2. approve US$16,825,156 to be distributed from endowed funds for the 2018–19 budget year allocated as follows: program awards of $13,788,060, program operations of $1,343,871, fund development of $1,015,935, and general administration expenses of $677,290.

135. TRF Investment Committee Report

Statement: The Investment Committee met via webinar on 13 February 2018 and received updates on investment strategy and current performances and discussed the mechanics of a portfolio overlay program, among other topics.

DECISION: The Trustees thank the Investment Committee for its report.

136. Audit Committee Report

Statement: The Audit Committee met on 15–16 March 2018 in Evanston, IL, USA, and discussed recently completed audits, a summary of open management action plans, required annual reporting for Rotary institutes, an update on cybersecurity efforts, general data protection
regulations, and payment card industry compliance, among other topics.

**DECISION:** The Trustees thank the Audit Committee for its report.

### 137. Joint Task Force to Consider RI Tax Status

**Statement:** As the RI Board reviews a possible change to Rotary’s tax status, the Trustees requested that a joint task force be formed to further study the implications of such a change.

**DECISION:** The Trustees, subject to the agreement of the RI Board,

1. agree to establish a six-member joint task force comprising three 2018–19 trustees appointed by the Trustee chair and three 2018–19 directors appointed by the RI president to operate in accordance with the proposed joint memorandum approved by the Trustees at this meeting and study all the issues relating to the possible change in RI’s tax status;

2. agree that this joint task force shall present interim reports to their respective boards at their June, August, and October 2018, and January 2019 meetings;

3. agree that the cost of this joint task force shall be split equally between The Rotary Foundation and Rotary and agree that each will budget US$50,000 for this purpose;

4. request the Board to agree to points 1–3 above and the terms of the proposed joint memorandum.

### 138. Additional Items Relating to the Joint Task Force to Consider RI Tax Status

**Statement:** The Trustees discussed additional matters regarding a joint task force with the RI Board that will review the implications of a possible change to Rotary’s tax status.

**DECISION:** The Trustees

1. authorize their Executive Committee to act on their behalf to approve any changes to decision 137 and/or the Joint Memorandum referenced in the decision that are requested by the RI Board;

2. agree that the Trustee chair, chair-elect, and chair-nominee shall identify the three Trustee representatives to be officially appointed to the joint task force by the Trustee chair pursuant to point 1 of decision 137.

### 2017–18 Budget Review

**• The Approved 2017–18 Rotary Foundation’s budget.**

<table>
<thead>
<tr>
<th>Budget Item</th>
<th>2017–18 Budget</th>
<th>2017–18 Budget Variances</th>
</tr>
</thead>
<tbody>
<tr>
<td>Revenues</td>
<td>US$353,017,000</td>
<td></td>
</tr>
<tr>
<td>Program Awards</td>
<td>275,514,000</td>
<td></td>
</tr>
<tr>
<td>Program Operations</td>
<td>24,917,000</td>
<td></td>
</tr>
<tr>
<td>Operating Expenses</td>
<td>26,322,000</td>
<td></td>
</tr>
<tr>
<td>Total Expenses</td>
<td>326,753,000</td>
<td></td>
</tr>
<tr>
<td>Change in net assets before variances</td>
<td>26,264,000</td>
<td></td>
</tr>
<tr>
<td>Net total of revenue and reserve funding over expenses</td>
<td>$25,063,500</td>
<td></td>
</tr>
</tbody>
</table>

**• 2017–18 budget variances:**

- **Revenues:**
  - September 2017 meeting: 6,450,000
  - Total 2017–18 Trustee meeting revenue: $6,450,000

- **Program Awards:**
  - September 2017 meeting: 5,000,000
  - April 2018 meeting: 2,000,000

- **Expenses:**
  - July 2017 meeting: 0
  - September 2017 meeting: 617,900
  - January 2018 meeting: 32,600
  - April 2018 meeting: 0

- **Total 2017–18 Trustee meeting expense:** $650,500

- **Total 2017–18 change in net assets Including variances:** $25,063,500

**• Decisions taken at this meeting, impacting future years:**

<table>
<thead>
<tr>
<th>Revenues</th>
<th>2017-18</th>
<th>2018-19</th>
<th>2019-20</th>
</tr>
</thead>
<tbody>
<tr>
<td>Dec. 106 Corp. Soc.</td>
<td>-</td>
<td>-</td>
<td>2,000,000</td>
</tr>
<tr>
<td>Resp. in India Pilot</td>
<td>-</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>Dec. 121 Peace</td>
<td>-</td>
<td>48,000</td>
<td>-</td>
</tr>
<tr>
<td>Events IC19</td>
<td>-</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>Hamburg</td>
<td>-</td>
<td>-</td>
<td>-</td>
</tr>
</tbody>
</table>

| Total Revenues: | $0 | $48,000 | $2,000,000 |

<table>
<thead>
<tr>
<th>Program Awards</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Dec. 112 Add.</td>
<td>2,000,000</td>
</tr>
<tr>
<td>World Fund Global Grants</td>
<td>-</td>
</tr>
</tbody>
</table>
Dec. 119 DDF Working Group - - 4,500,000
Dec. 120 RPC Future Plan. Comm. - 30,000 40,000
Dec. 121 Peace Events IC19 - 495,000 -
Hamburg

**Total Program**

**Awards:** $2,000,000 $525,000 $4,540,000

**Expenses**

Dec. 103 Area of Focus Major Gifts
Init. Update: - 26,000 44,000
Dec. 106 Corp. Soc. Resp. in India Pilot: - - 240,000
Dec. 120 RPC Future Plan. Comm. - 31,000 -

**Total Expenses:** $0 $57,000 $284,000

**Net Surplus/ (Deficit):** (2,000,000) (534,000) (2,824,000)

**Adjournment**

The foregoing is a true record of the proceedings at the fourth meeting of the 2017–18 Rotary Foundation Trustees held on 9–13 April 2018 in Evanston, IL, USA.

Paul A. Netzel, Chairman
The Rotary Foundation

Andrew McDonald
Secretary of the Meeting

ATTEST:

John Hewko
General Secretary
APPENDIX A

SUMMARY OF DECISIONS TAKEN ON BEHALF OF THE TRUSTEES
(Decision 91)

Citation for Meritorious Service Awards
- 16 award recipients.

TRF Cadre of Technical Advisers
- The cadre reviewed 84 grants, including two advance site visits, three grant model evaluation beneficiary interviews, 10 grant model evaluation sustainability visits, 10 India stewardship assessments, 22 interim monitoring visits, one operational audit, three random audits, four routine audits, and 29 technical reviews.

Foundation Programs
- 285 global grants awarded for a total of $8,333,768 in World Fund;
- 107 district grants awarded for a total of $4,957,547 in DDF.

Waivers
- **Districts/Clubs:** Rotary Clubs of Milano-Nord-Est, Italy (District 2041) and Dar es Salaam North, Tanzania (District 9211)
- **Decision:** The general secretary granted a waiver to allow the sponsors to include project management costs of 17.5 percent. Project management costs are typically capped at 10 percent. In this case, the sponsors had worked with the general secretary at length on the project design and invested significant personal expenses outside of the grant to carry out the project. The area of focus manager confirmed that these costs will ensure the best outcome for this water and sanitation project.
APPENDIX B

AMENDMENT TO THE ROTARY FOUNDATION CODE OF POLICIES REGARDING THE ROTARY ALUMNI GLOBAL SERVICE AWARD AND THE ALUMNI ASSOCIATION OF THE YEAR AWARD
(Decision 94)

16.040. Alumni Awards

16.040.1. Rotary Alumni Global Service Award
The purpose of the Rotary Alumni Global Service Award is to honor an outstanding Rotary Alumnus whose career and activities illustrate the impact of Rotary’s programs on their service to humanity. For the award, Rotarians should consider individuals whose service activities and professional achievements are of an extraordinary nature. The ideal candidate’s service and professional career would go beyond the local community to touch the lives of people at the international level.

Rotary Alumni Global Service award is given to a single individual. All Rotary alumni are eligible for the Award.

16.040.1.1. Selection Criteria
1. Candidates must have demonstrated the social benefits of Rotary’s programs through extraordinary service activities and professional achievements.
2. Candidates must have achieved distinction in their profession or vocation.
3. Candidates must have performed sustainable service impacting the international community. (October 2014 Trustees Mtg., Dec. 45)

16.040.1.2. Eligibility Criteria
1. Candidates must accept the award in person at the Rotary International Convention, with exception being granted in special circumstances.
2. An individual may receive an award only once.
3. Individuals may not be nominated for, or receive an award, posthumously.
4. Current and past RI directors and Foundation trustees shall be ineligible to receive the award. (January 2016 Trustees Mtg., Dec. 79)

16.040.1.3. Nomination of Candidates
1. All district governors are eligible to nominate one candidate annually for the zone level competition.
2. Nominations must be made on the prescribed form with a clear description of the candidate’s achievements that would qualify him/her for this award; supplementary documentation or material is highly desirable.
3. The regional Rotary Foundation Coordinator (RRFC) shall be responsible for the nomination process for their zone/region and should notify district governors of the zone level deadline and procedures. All three regional coordinators should be involved in the nomination process.
4. Each RRFC may nominate one candidate annually from the zone/region for which he or she is responsible for the international competition.
5. Nominations must be received at RI World Headquarters by 30 June / 1 September for the following year’s award. (October 2014 Trustees Mtg., Dec. 45)

16.040.1.4. Selection Process
1. The general secretary will review all nominations to assure candidates meet the eligibility criteria and forward them to the Joint Young Leaders and Alumni Engagement Committee, the Service Awards Board Committee and the TRF Awards Review Trustee Committee for consideration.
2. The Joint Young Leaders and Alumni Engagement Committee, The Service Awards Board Committee and the TRF Awards Review Trustee Committee will review all eligible nominations and recommend one winner and one alternate winner of the Rotary Alumni Global Service Award to the Board and Trustees at their joint meetings in October for selection.
3. The recipient of the award and the nominating
Minutes of the April 2018 Rotary Foundation Trustees Meeting

district governor and RRFC will be notified about winning the award immediately after the October joint meeting of directors and trustees. Upon written acceptance of the award (including a commitment to receive the award in person at the Rotary International Convention), the winner will be announced to the other nominating district governors and regional coordinators, and to the general public, as appropriate.

4. Presentation of the award will be made jointly by the trustee chairman and RI president during a plenary session at the Rotary International Convention. (April 2017 Trustees Mtg., Dec. 95)

16.040.1.5. Involvement of Rotary Alumni Global Service Award Recipients in Rotary Activities

Rotary Institute conveners and regional coordinators are encouraged to invite Award recipients to speak at the Rotary Institute as appropriate and if practicable.

Governors and Governors-elect of those districts where Award recipients reside are encouraged to invite the recipients to the district conference as appropriate and if practicable.

Presidents of Rotary clubs where Award recipients reside are encouraged to grant honorary membership to Rotary Alumni Global Service Award recipients who are not Rotarians and to invite them to club events whenever possible.

Past Award recipients may be invited to participate in RI Conventions whenever appropriate and practical. (April 2016 Trustees Mtg., Dec. 98)

16.040.2. Alumni Association of the Year Award

The purpose of the Rotary Alumni Association of the Year Award is to recognize an alumni association that has increased awareness of the significant role alumni play in Rotary and demonstrated a lasting impact on Rotary programs. Winning associations receive a plaque or banner, recognition in Rotary media, and the opportunity to present their association’s work at a significant Rotary event. (October 2014 Trustees Mtg., Dec. 45)

16.040.2.1. Award Eligibility

The alumni association must:

a) Be formally chartered by Rotary International

b) Be up-to-date in responding to all requests for information from RI, such as surveys and current officers

c) Enhance the awareness of the value of alumni within Rotary

d) Involve a majority of association members

e) Complete a project or activity over the past twelve months that has impact on either a local or international community

f) Have an online presence through social media, such as Facebook, LinkedIn, or other site

g) Demonstrate collaboration between Rotarians and alumni

h) Support the Object of Rotary and Service Above Self (October 2014 Trustees Mtg., Dec. 45)

16.040.2.2. Nomination Procedure

At the beginning of the Rotary year, the regional Rotary Foundation coordinator (RRFC) chooses and announces a zone/regional selection committee to receive and evaluate all entries. All three regional coordinators should be ex officio members of this committee.

All district governors are eligible to nominate one candidate for the zone level competition. Nominations must be made on the prescribed form with a clear description of the candidate’s achievements that would qualify him/her for this award; supplementary documentation or material is highly desirable.

The regional Rotary Foundation coordinator (RRFC) shall be responsible for the nomination process for their zone/region and should notify district governors of the zone level deadline and procedures. All three regional coordinators should be involved in the nomination process.

Each RRFC may nominate one candidate from the zone/region for which he or she is responsible for the international competition. The zone/regional selection committee should choose the association in the zone/region to receive the award before 15 June. The selected association should be recognized as their
zone/regional winner.

The RRFC must fill out and submit the official nomination form to RI World Headquarters by 30 June 1 September for the following year's award. Each RRFC may submit one association from their zone/region.

The Joint Young Leaders and Alumni Engagement Committee, The Service Awards Board Committee and the TRF Awards Review Trustee Committee will review all nominations and recommend one winner of the Alumni Association of the Year Award to be presented to the Board and Trustees at their joint meetings in October January for selection. (April 2017 Trustees Mtg., Dec. 95)
APPENDIX F

AREAS OF FOCUS MAJOR GIFTS INITIATIVE COMMITTEE STRUCTURE
(Decision 103)

Premise
- Need to maintain dedicated MGI Committees whose members share a passion for an area of focus
- Need to reduce travel and training expenses without sacrificing effectiveness
- Focus on those parts of the world with the capacity, propensity, and potential to make major gifts
- Complement the geographic structure of other volunteers and fundraising staff
- Volunteer management, and information sharing are key components of success
- Programs and Grants team must act as full partners in educating and supporting volunteers and potential donors
- Oversight Team coordination with E/MGA Training will enhance training and communication

Structure

Major Gifts Initiative Oversight Team
- The oversight team for the Major Gifts Initiatives
- Reports to the Fund Development Committee, replaces the MGI Working Group
- A Trustee on the Fund Development Committee will serve as team lead and Trustee liaison
- Each MGI Committee Chair serves on Oversight Team
- One or more representatives from MGI Oversight Team attend the E/MGA training to facilitate communication and encourage collaboration
- MGI information will be incorporated into the Endowment/Major Gifts Training Institute

The Major Gifts Initiative Committees Under The Oversight Team
- Separate annual training meeting for Peace MGI, due to different training content
- Combined annual training meeting for remaining MGI Committee
- Committee members selected based upon geographic strategies and predicted success

Basic Education and Literacy Major Gifts Initiative Committee
- Six committee members

Health Major Gifts Initiative Committee (Combined Disease Prevention and Treatment and Maternal and Child Health Areas of Focus)
- Six committee members

Economic and Community Development Major Gifts Initiative Committee
- Six committee members
- Will be rolled out on 1 July 2019

Peace Major Gifts Initiative Committee (Combined Rotary Peace Centers and Peace and Conflict Resolution Area of Focus)
- Ten committee members, reflecting larger goal and donor interest in Rotary Peace Centers
- Focus on well established markets but new opportunities may arise if new Center is recommended and approved
- Separate training in recognition of the important Rotary Peace Centers component

Water and Sanitation Major Gifts Initiative Committee
- Six committee members
APPENDIX G

MAJOR GIFTS INITIATIVES NAMING OPPORTUNITES
(Decision 103)

Term Gifts

Special term gift naming opportunities are available to those who wish to support an approved Rotary Peace Symposium. Because these gifts are typically expended within two years of receipt, they must be outright gifts.

$400,000–$500,000 Rotary Peace Symposium Sponsorship

Provides leadership gift to underwrite costs of the Rotary Peace Symposium and related activities. Sponsorship reflects actual cost of that particular event.

$50,000–$100,000 Rotary Peace Symposium Designated Gift, Full Funding

Provides full funding for a donor-specified element of Rotary Peace Symposium operating expenses (speakers, Rotary Peace Fellow travel, etc.)

$10,000–$25,000 Rotary Peace Symposium Hosted Event

Provides funding for receptions and other celebrations connected to the Rotary Peace Symposium

$10,000 and up Rotary Peace Symposium General Support

Gifts of $10,000 or more can be used for general support of an approved Rotary Peace Symposium.

Entrepreneurial Fund Endowed and Term Gifts

Endowed gift naming opportunities may be supported through outright or planned gifts. Term gifts are fully expended, usually within 24 months. These gifts work within the current global grant or Rotary Peace Centers structure, and have appeal to donors who seek to inspire and support new programs.

$500,000–$1 million Entrepreneurial Endowed Fund for Peace

Spendable earnings provide partial to full support for new opportunities within Rotary’s peace programs. Examples may be workshops, retreats, and other new initiatives.

$75,000–$1 million Entrepreneurial Term Gift for Peace

A gift that is fully expended on new opportunities within Rotary’s peace programs. Examples may be workshops, retreats, and other new initiatives.
APPENDIX I

AMENDMENTS TO THE ROTARY FOUNDATION CODE OF POLICIES REGARDING SERVICE PARTNERSHIPS
(Decision 122)

4.040. Service Partners
A service partnership is a formal relationship between Rotary International and/or The Rotary Foundation and another organization, such as a nongovernmental organization, government agency, corporation, or university, to provide opportunities or expertise to enhance Rotary club or district projects at the local, national, and international levels. Service partnerships are global, flexible, scalable, and may range from small to large in scope, and from short to long term in duration. Service partners add measurable value to the humanitarian activities undertaken by Rotary members, including increased sustainable community impact and enhanced public image and awareness. Prospective service partners must demonstrate sufficient experience successfully working with clubs and/or districts on planning and implementing local or international projects, must have the ability to report on and quantify collaboration with Rotary members, and must have the capacity to work directly with Rotary members on project implementation. Service Partnerships receive no funding from the Secretariat. The role of the Secretariat is to manage the partnership and facilitate Rotarian engagement with partners. Service partner activities will be promoted to clubs and districts, with a focus on local implementation.
4.010. General Guidelines

Rotary International and The Rotary Foundation actively seek to develop partnerships with other organizations that will increase our capacity to provide service. There are four types of partnerships: Strategic, Resource, Service, and Project. All potential partnerships must at a minimum do one or more of the following:

a) align with the strategic plan
b) address one or more areas of focus
c) provide opportunities for positive public image
d) attract new members, contributions, or project volunteers

Given the extreme variety among potential partners, these guidelines are not exhaustive. All potential partners shall enter into an agreement with RI/TRF, and the nature of each agreement may be different.

While Rotary and/or The Rotary Foundation may, from time to time, partner with organizations whose mission states or implies a religious orientation, results of such partnerships must not promote any particular religious entity, activity, or viewpoint. All proposed partnerships with an organization whose mission states or implies a religious orientation will be carefully researched by staff (including financial considerations and accountability), reviewed by a Foundation committee, and approved by the Trustees and the RI Board to ensure the proposed partnership is appropriate. Any such partnership is open to review and possible termination if analysis determines the collaboration to no longer be appropriate.

Rotary does not endorse its partners, their products or services, policies or positions. Partnership does not imply endorsement or approval of a product or service, policy or position by Rotary. The Joint Committee on Partnerships, or in its absence the general secretary shall provide an annual report on all partnerships to the Board and the Trustees at their second meetings each year.

4.010.1. Criteria for Evaluation

[Text omitted]

RI/TRF shall not enter into partnerships with other organizations that:

a) Conflict with Rotary’s values
b) Support the use of addictive or harmful products and activities
c) Promote a particular political or religious viewpoint through the partnership’s activities and results
d) Discriminate based on race, ethnicity, age, gender, language, religion, political opinion, sexual orientation, national or social origin, property, or birth or other status through the partnership’s activities and results (See Rotary Code section 4.010.1 for Rotary’s Statement on Diversity.)

4.010.3. Contact with Other Organizations

No officer of Rotary International or The Rotary Foundation shall enter into any agreement on behalf of the organization unless approved by the appropriate authority and any nonapproved agreement shall be null and void. The general secretary may assign responsibility for officers, staff, and other individuals to open discussions with other organizations.

The general secretary maintains a “no contact list” of individuals, foundations, corporations, governments and other entities with which Rotary International or its Foundation is working to develop relationships and obtain funding at an international level. No representative of any Rotary Entity other than Rotary International or its Foundation shall contact or solicit any organizations on the “no contact list” for the purpose of obtaining funds or cooperation for their projects. Written requests for exceptions to this policy must be submitted
to the general secretary, who will consult with the RI president and Trustee chair as appropriate, before responding to the requestor.

This should not be construed as prohibiting clubs, districts and multidistrict groups from developing partnerships with local organizations; on the contrary, they are encouraged to develop these local relationships. Rotary does not endorse its partners, their products or services, policies or positions. Partnership does not imply endorsement or approval of the partner’s products or services, policies or positions by Rotary. A partnership does not imply any exclusive arrangement with Rotary. A partnership does not imply any grant of control or influence to the partner over the content of any Rotary activity, publication, position or policy, nor does it imply that Rotary will exert any influence to advance the partner’s interests outside the particulars of the arrangements made for the sponsored event or activity. Promotional materials and communications cannot include any wording or design that suggests endorsement or approval of a product or service, policy or position by RI.

3. RI will not accept a sponsorship that:

a. Conflicts with Rotary’s ethical and humanitarian values
b. Undermines internationally recognized standards for human rights
c. Supports the use of addictive or harmful products and activities, including but not limited to alcohol (when inappropriate in a specific cultural context), tobacco, gambling, and guns, weapons or other armaments
d. Promotes a particular political or religious viewpoint through the partnership’s activities and results
e. Discriminates based on race, ethnicity, age, gender, language, religion, political opinion, sexual orientation, national or social origin, property, or birth or other status through the partnership’s activities and results (See Rotary Code section 4.010.1. for Rotary’s Statement on Diversity.)
f. Weakens the autonomy, independence, reputation, or financial integrity of Rotary International, The Rotary Foundation, or the specific Rotary club, district or other Rotary Entity
g. Involves any subject matter which is not in accord with the Object of Rotary

[Text omitted]
APPENDIX K
REVISED REGIONAL LEADERS POLICY
(Decision 124)

Article 5 – Regional Leaders
5.000 Regional Leaders
5.010 Teamwork
5.020 Training
5.020 Regional and District Training
5.040 Funding
5.050 Evaluation

5.100 Regional Rotary Foundation Coordinators
5.110 Purpose
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5.130 Selection Process
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5.200 Endowment/Major Gift Advisers
5.210 Purpose
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5.260 Key Network

5.000 Regional Leaders
Regional leaders is the term used for the Regional Rotary Foundation Coordinator (RRFC), Rotary Coordinator (RC), Rotary Public Image Coordinator (RPIC) and the Endowment/Major Gifts Adviser (E/MGA) team that works together in their assigned districts to improve giving, program participation, membership, and public image.

5.010 Teamwork
The regional leader team supports the interrelated priorities of Rotary’s strategic plan and goals working under the direction of the RI Director with support from either a Trustee that resides in the zones of that Director or from a Trustee within the greater geographical region.

Regional leaders need to work together as a team to successfully serve their districts. Regional leaders must understand their role and the role of each member on their team. Each regional leader has individual responsibilities but to be successful their work intersects and supports the full team’s work. Regional leaders must approach their work collaboratively, identify team dysfunction and work together to overcome it, while continuously communicating. Working as a team, regional leaders can better meet their goals and the needs of their districts.

The regional team is strongly encouraged to jointly
- Develop shared goals and vision for their districts
- hold training events whenever possible
- send communications to district leadership
- encourage positive change in their districts

5.020 Training

Regional leaders organize, lead, and/or participate in trainings year-round. Training events include
- Regional Team Training – Assistants and others are trained before 1 July in this meeting convened by the Director that will oversee the regional team in the coming Rotary year and working in close collaboration and communication with both the current Director and current regional leaders. E/MGAs are encouraged to provide training to District Endowment/Major Gifts Subcommittee Chairs.
- Governors-elect Training (GETS) and Rotary Institutes – Serve as an introduction of regional leaders.
leaders as resources to district leaders and their district chairs. While not all regional leaders may be invited to be on the official GETS training team, all regional leaders are encouraged to attend GETS and Rotary Institutes to establish and strengthen relationships with district leaders.

- **Regional Seminars** – Held in collaboration with other regional leaders to inspire Rotarians, especially district leaders, with new information, resources, tools, and an opportunity to share best practices.

- **President-elect Training Seminar and other District Seminars** – Regional leaders and their teams should work with their governors and governors-elect to support the training of presidents-elect and other district leaders, including providing assistance to training program and curriculum development, and, if invited, providing training or participating in district training events.

- **On-line** – Use of webinars, on-line meetings, teleconferences, and videoconferences is strongly encouraged for the broadest reach and impact within clubs and districts. E-learning resources on Rotary’s website are also effective tools for distance learning.

### 5.040 Funding
Annual funding from available Rotary financial resources is provided to cover basic and necessary business expenses incurred directly by regional leaders in carrying out their role responsibilities.

### 5.050 Evaluation
Regional leaders’ progress towards reaching their goals is regularly reported to the general secretary. Annually, regional leaders self-assess their performance in reaching outcomes, conducting activities, improving their competencies, and their qualitative contributions. These annual self-assessments are shared with RI Directors and Trustees for facilitating mentoring opportunities. The general secretary consults with the Rotary Foundation Trustee Chair in the implementation of an independent remediation process for low or underperforming regional leaders.

### 5.100 Regional Rotary Foundation Coordinators
Regional Rotary Foundation Coordinators (RRFCs) serve as an overall Foundation resource to districts for a term of three years, subject to annual review. Each of the 34 zones has at least one regional leader team that is assigned to the districts within the zone, zone section, or part of the zone.

### 5.110 Purpose
The purpose of RRFCs is to promote The Rotary Foundation’s goals and Rotary’s strategic plan by facilitating increased giving to and participation in Rotary’s Annual Fund and greater participation in Rotary district and global grants. RRFCs work in collaboration with the district structure to support and encourage Rotarians and clubs to fully participate in The Rotary Foundation’s fund-raising and grant opportunities.

#### 5.120 Qualifications
RRFCs must have the following experience before appointment:

- service as a past district governor
- expertise in Rotary Foundation grants and fund-raising

Preference for RRFC candidates will be given to candidates with demonstrated

- collaborative leadership styles
- service as an Assistant RRFC and/or as a DRFC

Because of the intensive nature of this role, RRFCs should not simultaneously hold other time-intensive Rotary appointments such as the District Rotary Foundation Committee Chair (DRFC) or member of an RI or TRF committee. RRFCs should also not serve as any other district chair or on any other zone-level role that requires a significant time commitment.

### 5.130 Selection Process
The Incoming Trustee Chair-elect annually appoints RRFCs for open positions based on recommendations from current, immediate past, and incoming Directors and Trustees along with RRFCs serving in their last year. Candidate selections are shared with the RI Board and TRF Trustees for review before appointments are finalized and announced.

### 5.140 Role Responsibilities
RRFCs must understand and effectively communicate the Rotary Foundation’s

- Goals and priorities
- inclusion in Rotary’s Strategic Plan
- Fund-raising opportunities and the need for funding
- Grants and programs
- Alumni importance

RRFCs actively promote

- Continuous support of a polio free world
- Opportunities in all Rotary Foundation giving tools
- Increased giving by regular donors and non-giving Rotarians, clubs and districts
- Clubs’ participation in district and global grants
- Attracting qualified candidates to Rotary’s Peace Centers
• Good stewardship of Rotary Foundation funding with full use of District Designated Funds and timely grant reporting
• Ways to strengthen alumni connection, engagement, and commitment to Rotary

RRFCs, working with their assistants, plan and conduct the following training
• Regional team training for their assistants
• Governors-elect Training Seminars as invited to present
• Regional and other district seminars as needed

RRFCs are also responsible for facilitating the nomination process for the Rotary Alumni Global Service Award and the Alumni Association of the Year Award by nominating candidates for both awards annually in consultation with both the Rotary Public Image Coordinator and the Rotary Coordinator.

5.150 Primary Audience
RRFCs work in collaboration with district governors and the district structure to connect Rotarians with Foundation resources that support goals, priorities, and Rotary’s strategic plan to deepen impact in communities locally and globally. Providing oversight to overall fund-raising and grant participation in their assigned districts, RRFCs work most closely with District Rotary Foundation Committee Chairs (DRFCs), District International Service Chairs (DISCs) and District Grants Subcommittee Chairs (DGSCs).

RRFCs must inspire, motivate, train, advise, strategize, communicate, and promote Foundation programs and activities directly to district Rotary Foundation committee and subcommittee chairs.

5.160 Key Network
Senior Leadership
RI Directors lead regional leader teams in reaching their goals within their assigned districts. RRFCs are expected to regularly communicate, plan, confer, collaborate, and cooperate with their RI Director and either a Trustee that resides in the zones of that Director or from a Trustee within the greater geographical region as well as their fellow regional leaders.

Regional Leaders
RRFCs must collaborate and communicate with their predecessor and successor to ensure continuity, consistency, and successful knowledge management. Additionally, RRFCs must plan, communicate and collaborate with their regional leader team to have long-lasting impact in their districts.

Endowment/Major Gift Advisors
E/MGAs are partners and peers with RRFCs on their regional leader team. Both regional leaders must work together as a team to ensure successful collaboration on Foundation giving, donor communication, promotion of the Rotary Foundation, and planning for donor events.

Area of Focus Major Gift Initiative Committees
Members of the Area of Focus Major Gift Initiative Committees serve as a resource to RRFCs based on their area of expertise.

District Governors
RRFCs are a key resource to governors and their district foundation committee and subcommittee chairs to improve participation in grants and increase giving to the Rotary Foundation. RRFCs need the district governor to actively promote them as a resource to their district chairs.

Assistant Regional Rotary Foundation Coordinators
Regional Rotary Foundation coordinators select their assistants based on demonstrated success with a Rotarian’s grant participation and fund-raising experience. Assistants have a maximum of four districts and are appointed annually, for up to three years. Assistants may not serve concurrently with a regional or district level role. Assistants help the RRFC with education, motivation and training about grants, programs, and giving opportunities.

Candidates for assistant RRFCs must be vetted with the general secretary for compliance with Rotary policy related to stewardship, youth protection, financial and other obligations before the appointments are final.

End Polio Now Coordinators
End Polio Now Coordinators are a critical resource and part of the RRFC’s team for all fund-raising, education and promotion of a polio-free world.
AMENDMENTS TO THE ROTARY FOUNDATION CODE OF POLICIES REGARDING THE
CITATION FOR MERITORIOUS SERVICE AND DISTINGUISHED SERVICE AWARD
(Decision 125)

21.050.4. Citation for Meritorious Service

Criteria and Eligibility
The Citation for Meritorious Service recognizes one individual Rotarian per year per district who has demonstrated outstanding active service to The Rotary Foundation.

Eligible nominators
Nominations may be made by the current district Rotary Foundation chairs and must be endorsed by the current governor of the nominee’s district. District governors may only endorse one nomination from their district per year.

Eligible nominees
Any active Rotarian in good standing may be nominated except current, incoming, and immediate past district governors, RI directors, and Rotary Foundation trustees. It is not possible to nominate one’s self for the award. An individual may only receive the award once.

Nomination criteria
Service to The Rotary Foundation must have been for more than one year. Examples of eligible service include, but are not limited to:

- Service on Foundation committees at the club, district, or international level
- Participation in Foundation grants or programs
- Support of Ambassadorial or Rotary Scholars or Rotary Peace Fellows
- Participation in Group Study Exchange teams or vocational training teams

Financial contributions to the Foundation, however notable, are not relevant considerations for this award.

Selection of recipients
The General Secretary shall review the nominations to confirm the nominee has not previously been awarded the Citation for Meritorious Service and that the nomination is complete with the requisite signatures and endorsements. Upon confirmation, RI shall return to the nominator or the nominator’s designee the Citation for Meritorious Service certificate for presentation to the Rotarian.

General Secretary Authority to Rescind an Award
The general secretary is authorized to deem ineligible any nomination of a Rotarian who is prohibited from participating in the programmatic activities of Rotary International or The Rotary Foundation at the time of selection. (September 2011 Trustees Mtg., Dec. 56)

21.050.5. Foundation Distinguished Service Awards
The Trustees recognize up to fifty Rotarians worldwide for their outstanding efforts on behalf of The Rotary Foundation each year.

Eligible Nominators
Nominations may be made by any Rotarian and must be endorsed by the current governor of the nominee’s district. The nominee must also be endorsed by a Rotarian from outside the nominee’s district. District governors may only endorse one nomination for their district. Current and incoming Trustees are not eligible to nominate or endorse candidates for this award.

Eligible nominees
Any active Rotarian in good standing who has received the Citation for Meritorious Service at least four complete years (1 January through 31 December) prior may be nominated except current, incoming, or immediate past district governors, RI directors, and Rotary Foundation Trustees.

It is not possible to nominate one’s self for the award. An individual may only receive the award once.

Nomination criteria
Nominations for the Foundation Distinguished Service Award will be accepted at RI World Headquarters from 1 January through 28/29 February.

To receive a Foundation Distinguished Service Award, the recipient’s service must be on a broad basis, over an extended period of time, including at least six of the following activities, with a substantial level of activity in the past two years. Nominations must include detailed
information concerning the specific Foundation activities and responsibilities of the nominee since he or she received the Citation for Meritorious Service.

- Serving on a district Rotary Foundation committee for a total of at least five years
- Providing significant leadership at district or multidistrict Foundation training events
- Presenting on The Rotary Foundation at district or regional events
- Presenting on The Rotary Foundation at more than twenty club meetings within a two-year period
- Serving as a Scholar counselor and/or host at least three times
- Organizing and carrying out successful fundraising efforts at the district or multidistrict level
- Providing significant leadership in district or multidistrict scholar and GSE team (Vocational Training Team) orientation
- Serving as the designated project contact person for a successful grant project for which a final report has been received
- Providing substantial leadership in PolioPlus projects and activities
- Maintaining contact with alumni and involving them in district activities
- Other special projects or activities in support of The Rotary Foundation (must be clearly defined and described in detail by the nominator).

The Foundation Distinguished Service Award shall be given only for exceptional active service to The Rotary Foundation. Financial contributions to the Foundation, however notable, are not relevant considerations for this award.

Selection of Recipients
The trustee chairman shall select up to five current trustees to review the nominations prior to the April Trustee meeting. The recommendations will be presented to the full board of Trustees for confirmation. Upon selection, RI shall return to the nominator or nominator’s designee the Distinguished Service Award pin and plaque for presentation to the Rotarian.

General Secretary Authority to Rescind an Award
The general secretary is authorized to deem ineligible any nomination of a Rotarian who is prohibited from participating in the programmatic activities of Rotary International or The Rotary Foundation at the time of selection.

The general secretary is further authorized to rescind an individual’s Foundation Distinguished Service Award if requested by the nominator and in consultation with the Trustee chair, provided the award has not been presented or announced publicly and there are extraordinary circumstances that warrant such action. (September 2011 Trustees Mtg., Dec. 56)

21.050.9. Service to Rotary Award
This award shall be presented to all Trustees during the final meeting of their final year of service to Rotary on said Board.

21.050.10. Replacement
As a general guideline, replacement items will be issued from Rotary International in the following manner, irrespective of the nature of the loss:

a) Award certificates will be issued electronically to the requestor subject to confirmation that the individual was previously awarded.

b) Tangible items such as plaques, pins, crystals, etc., will be replaced subject to production and / or manufacturer’s cost, the expense of which will be the financial responsibility of the requestor. RI staff will ensure the integrity of the award replacement by confirming for the producer / manufacturer the validity of the request and facilitate the ordering of these items.
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